


Entity authentication and symmetric key establishment

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Outline

- 1. Cryptology: concepts and algorithms
 - symmetric algorithms for confidentiality
 - symmetric algorithms for data authentication
 - public-key cryptology
- 2. Cryptology: protocols
 - identification/entity authentication
 - key establishment
- 3. Public-Key Infrastructure principles
- 4. Networking protocols
 - email, web, IPsec, SSL/TLS
- 5. New developments in cryptology
- 6. Cryptography best practices

Definitions (ctd)

| | | |
|-----------------|---------------------|-----------------|
| | data | entities |
| Confidentiality | confidentiality | anonymity |
| Integrity | authentication | identification |
| Availability | data authentication | |

Authorisation

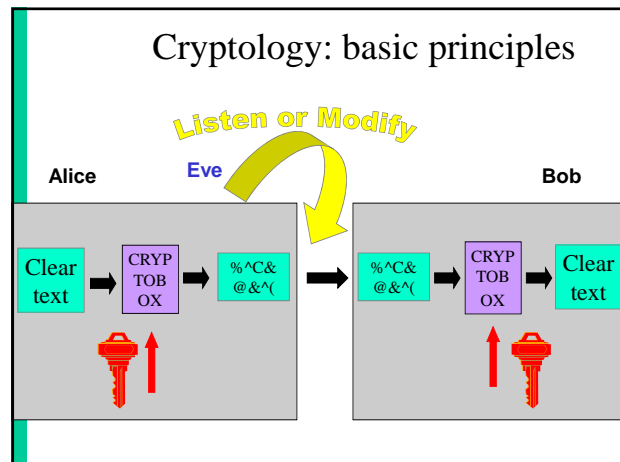
Non-repudiation of origin, receipt

Contract signing

Notarisation and Timestamping

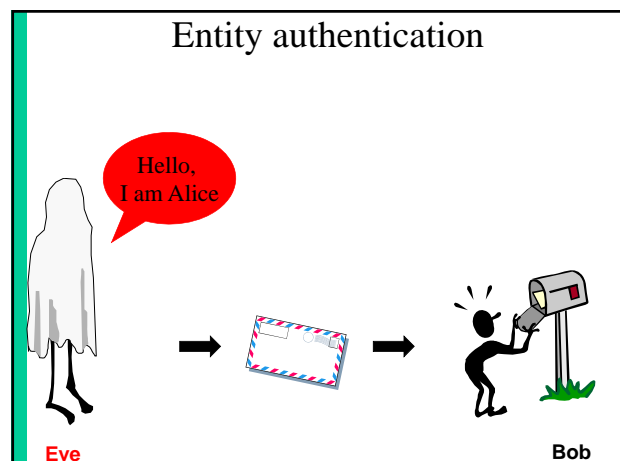
E-voting, e-auction,...

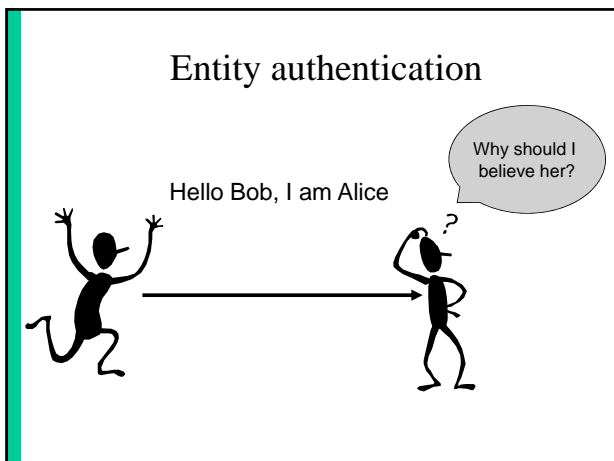
Don't use the word authentication without defining it



Identification

- the problem
- passwords
- challenge response with symmetric key and MAC (symmetric tokens)
- challenge response with public key (signatures, ZK)
- biometry
- symmetric key establishment and Kerberos
- public key establishment





Identification is based on one or more of the following elements:

- what someone **knows**
 - password, PIN
- what someone **has**
 - magstripe card, smart card
- what someone **is** (biometrics)
 - fingerprint, retina, hand shape,...
- **how** someone does something
 - manual signature, typing pattern
- **where** someone is
 - dialback, location based services (GSM, secure GPS)

ert5^r\$#89Oy

Identification with passwords

Hello Bob, I am Alice.
My password P is
Xur%9pLr

OK!

| | |
|-------|----------|
| Alice | Xur%9pLr |
|-------|----------|

BUT

- Eve can guess the password
- Eve can listen to the channel and learn Alice's password
- Bob needs to know Alice's secret
- Bob needs to store Alice's secret in a secure way

Improved identification with passwords

Hello Bob, I am Alice.
My password P is
Xur%9pLr

OK!

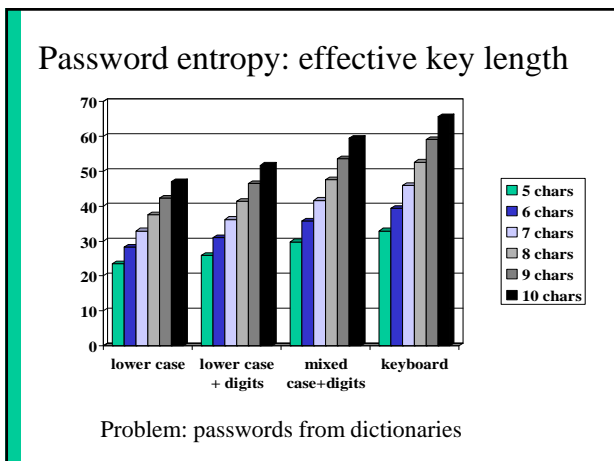
One-way function f

f(P)

| | |
|-------|-------------|
| Alice | f(Xur%9pLr) |
|-------|-------------|

Bob stores f(P) rather than Alice's secret P

- it is difficult to deduce P from f(P)



Improved+ identification with passwords

Hello Bob, I am Alice.
My password P is
Xur%9pLr

OK!

One-way function f

f(P||S)

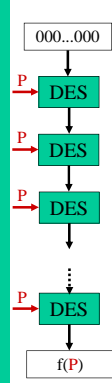
give every user at registration a random publicly known value S (salt)

| | |
|-------|---------------------------|
| Alice | f(Xur%9pLr 987&* 987&*) |
|-------|---------------------------|

Bob stores f(P,S) || S rather than Alice's secret P

it is harder to attack the passwords of all users simultaneously

Example: UNIX

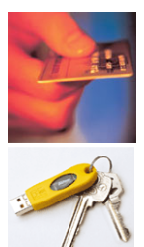


- Function $f()$ = DES applied 25 times to the all zero plaintext with as key the password P (8 7-bit characters)
- Salt: 12-bit modification to DES
- etc/passwd public
- PC: 10-20 million passwords/second
- But time-memory tradeoff...
 - Precomputation per salt $25 \cdot 2^{56}$
 - Storage per salt: 2 Terabyte
 - Find one key in time 25.2^{38}

Improving password security

- Apply the function f "x" times to the password (iteratively)
 - if $x = 100$ million, testing a password guess takes a few seconds
 - need to increase x with time (Moore's law)
- Disadvantage: one cannot use the same hashed password file on a faster server and on an embedded device with an 8-bit microprocessor
 - need to use different values of x depending on the computational power of the machine

Problem: human memory is limited



- Solution: store key K on magstripe, USB key, hard disk
- Stops guessing attacks

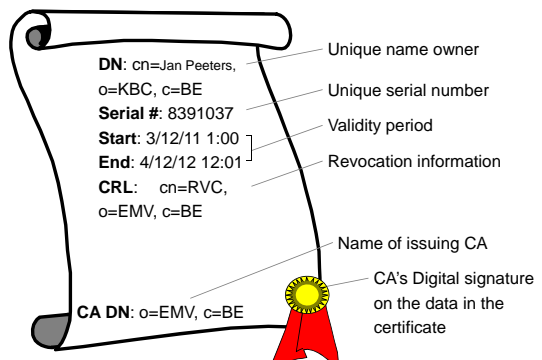
But this does not solve the other problems related to passwords

And now you identify the card, not the user....

Improvement: Static Data Authentication

- Replace K by a signature of a third party CA (Certification Authority) on Alice's name: $\text{Sig}_{SK_{CA}}(\text{Alice}) = \text{special certificate}$
- Advantage: can be verified using a public string PK_{CA}
- Advantage: can only be generated by CA
- Disadvantage: signature = 40..128 bytes
- Disadvantage: can still be copied/intercepted

"Certificate" for static data authentication



Unique name owner: DN: cn=Jan Peeters, o=KBC, c=BE

Unique serial number: Serial #: 8391037

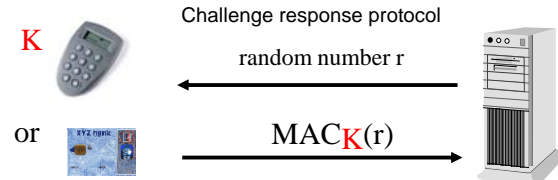
Validity period: Start: 3/12/11 1:00, End: 4/12/12 12:01

Revocation information: CRL: cn=RVC, o=EMV, c=BE

Name of issuing CA: CA DN: o=EMV, c=BE

CA's Digital signature on the data in the certificate

Entity authentication with symmetric token



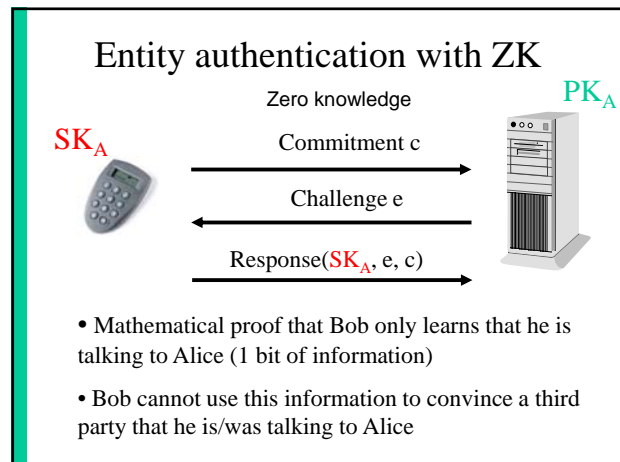
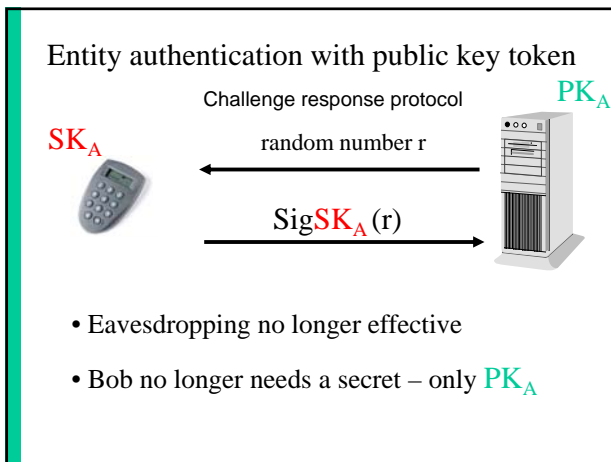
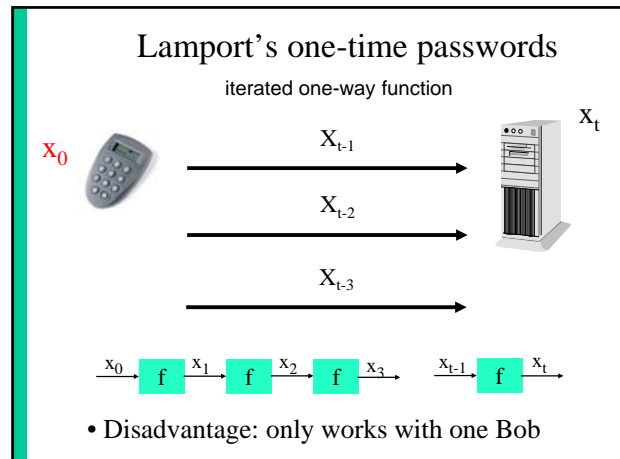
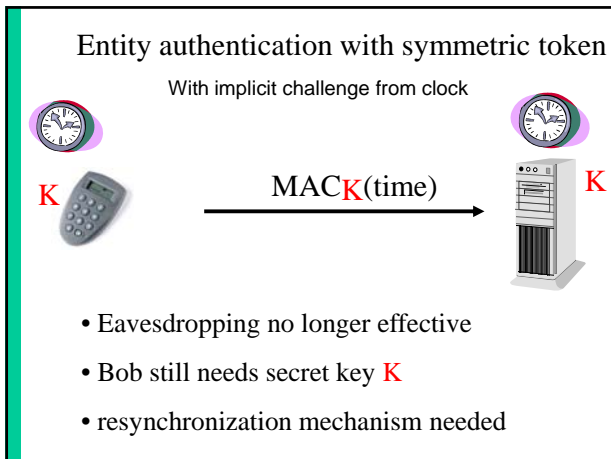
Challenge response protocol

random number r

OR

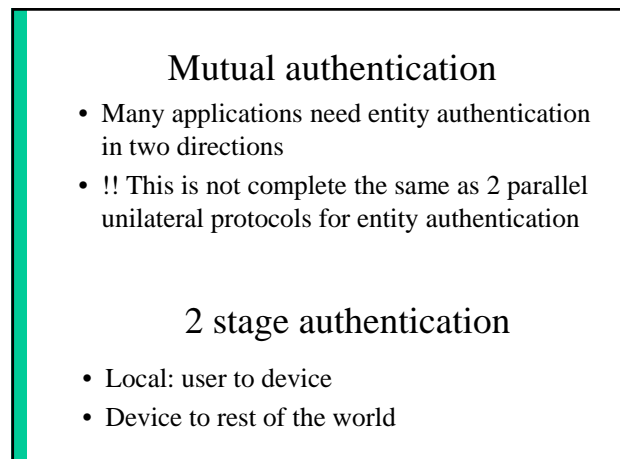
$\text{MAC}_K(r)$

- Eavesdropping no longer effective
- Bob still needs secret key K




Overview Identification Protocols

| | Guess | Eavesdrop channel | Impersonation by Bob | Secret info for Bob | Security |
|----------------------|-------|-------------------|----------------------|---------------------|----------|
| Password | - | - | - | - | 1 |
| Magstripe (SK) | + | - | - | - | 2 |
| Magstripe (PK) | + | - | - | + | 3 |
| Dynamic password | + | + | - | - | 4 |
| Smart card (SK) | + | + | - | - | 4 |
| Smart Card (PK) | + | + | + | + | 5 |
| Smart Card (PK) + ZK | + | + | ++ | + | 6 |




Biometry



- Based on our unique features
- Identification or verification
 - Is this Alice?
 - Check against watchlist
 - Has this person ever registered in the system?

Some unique features



DNA

skin

...

iris
Een videocamera maakt een opname van de iris.

face
Een camera meet de afstand tussen neus, ogen en mond of met infrarood de warmteverschillen samenhangend met het bloedvatpatroon.

retina
Een lichtstraal registreert het bloedvatpatroon op het netvlies, terwijl het oog op een klein doel is gericht.

ear
Een videocamera maakt een opname van het oor en registreert omvang, vorm en ontstek.

voice
Een automatisch luistert naar een zin die eerder was opgenomen. Niet verwarmen met spraakherkenning!

finger
Een scanner maakt een opname van de geometrie van de vinger.

Hand geometry
Een scanner meet handdikte en vingerlengte en -dikte. Een ander apparaat, dat nog niet in de handel is, meet bloedvaten op de rug van de hand.

Key board dynamics
Software meet ritme, snelheid en duur van toetsaanslag. Nog niet in commerciële markt.

odor
Een elektronische neus pikt dertig verschillende chemicaliën op uit de biomonster van je hand. Zeep of parfum ruikt het apparaat niet. De techniek is nog in ontwikkeling.

Signature dynamics
Werkings: een sensor in een pen of schrifttablet meet tijdens het schrijven druk, ritme, krulling en snelheid van de schrijver.

Biometric procedures

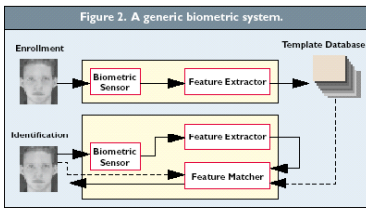
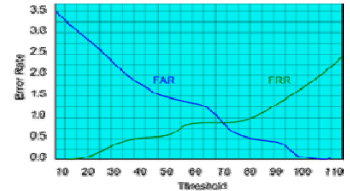


Figure 2. A generic biometric system.

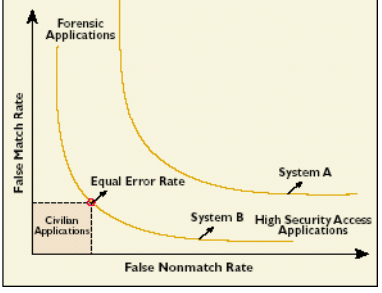
- Registration
- Template extraction
- Measurement
- Processing
- Template matching
- Link with applications

Robustness/performance

- Performance evaluation
 - False Acceptance Ratio or False Match Rate
 - False Rejection Ratio or False Non-Match Rate
- Application dependent



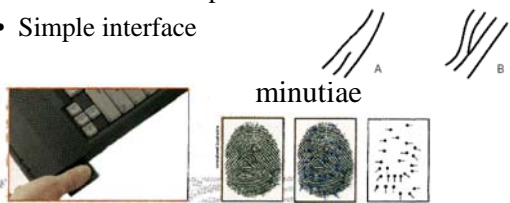
Robustness/performance (2)



The graph plots False Match Rate (Y-axis) against False Nonmatch Rate (X-axis). System A is shown as a curve that is high for forensic applications (high False Match Rate, low False Nonmatch Rate) and low for civilian applications (low False Match Rate, high False Nonmatch Rate). System B is the opposite, being low for forensic applications and high for civilian applications. The Equal Error Rate is marked at the intersection of the two curves.

Fingerprint

- Used for PC/laptop access
- Widely available
- Reliable and inexpensive
- Simple interface

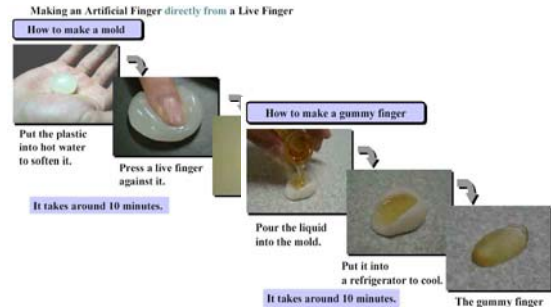


minutiae

Fingerprint (2)

- Small sensor
- Small template (100 bytes)
- Commercially available
 - Optical/thermal/capacitive
 - Liveness detection
- Problems for some ethnic groups and some professions
- Connotation with crime

Fingerprint (3): gummy fingers



Hand geometry

- Flexible performance tuning
- Mostly 3D geometry
- Example: 1996 Olympics



Voice recognition

- Speech processing technology well developed
- Can be used at a distance
- Can use microphone of our gsm
- But tools to spoof exist as well
- Typical applications: complement PIN for mobile or domotica

Iris Scan

- No contact and fast
- Conventional CCD camera
- 200 parameters
- Template: 512 bytes
- All ethnic groups
- Reveals health status



Retina scan

- Stable and unique pattern of blood vessels
- Invasive
- High security



Manual signature

- Measure distance, speed, accelerations, pressure
- Familiar
- Easy to use
- Template needs continuous update
- Technology not fully mature



Facial recognition

- User friendly
- No cooperation needed
- Reliability limited
- Robustness issues
 - Lighting conditions
 - Glasses/hair/beard/...



Comparison

| Feature | Uniqueness | Permanent | Performance | Acceptability | Spoofing |
|---------------|------------|-----------|-------------|---------------|----------|
| Facial | Low | Average | Low | High | Low |
| Fingerprint | High | High | High | Average | High |
| Hand geometry | Average | Average | Average | Average | Average |
| Iris | High | High | High | Low | High |
| Retina | High | Average | High | Low | High |
| Signature | Low | Low | Low | High | Low |
| Voice | Low | Low | Low | High | Low |

Biometry: pros and cons

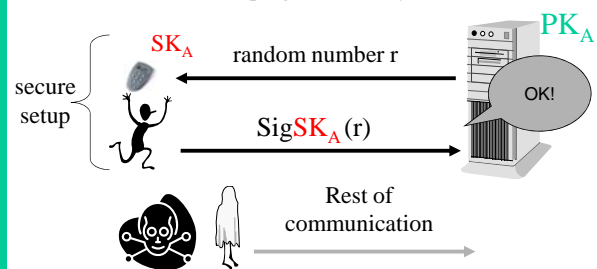
- Real person
- User friendly
- Cannot be forwarded
- Little effort for user
- Privacy (medical)
- Intrusive?
- Cannot be replaced
- Risk for physical attacks
- Hygiene
- Does not work everyone, e.g., people with disabilities
- Reliability
- No cryptographic key
- Secure implementation: derive key in a secure way from the biometric

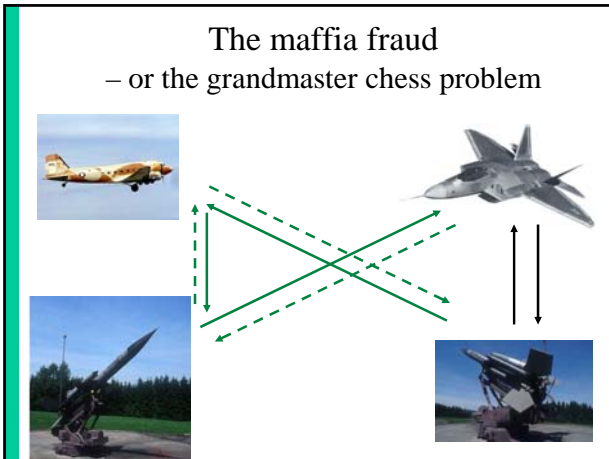
Location-based authentication

- Dial-back: can be defeated using fake dial tone
- IP addresses and MAC addresses can be spoofed
- Mobile/wireless communications: operator knows access point, but how to convince others?
- Trusted GPS?

Limitations of entity authentication

- Establish who someone is
- Establish that this person is active
- But what about keeping authenticity alive?





Solution

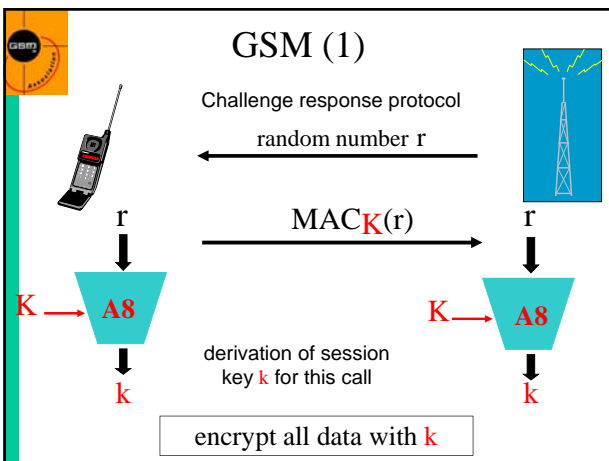
- Authenticated **key** agreement
- Run a mutual entity authentication protocol
- Establish a key
- Encrypt and authenticate all information exchanged using this key

Key establishment

- The problem
- How to establish secret keys using secret keys?
- How to establish secret keys using public keys?
 - Diffie-Hellman and STS
- How to distribute public keys? (PKI)

Key establishment: the problem

- Cryptology makes it easier to secure information, by replacing the security of information by the security of **keys**
- The main problem is how to establish these **keys**
 - 95% of the difficulty
 - integrate with application
 - if possible transparent to end users



GSM (2)

- SIM card with long term secret key **K** (128 bits)
- secret algorithms
 - A3: MAC algorithm
 - A8: key derivation algorithm
 - A5.1/A5.2: encryption algorithm
- anonymity: IMSI (International Mobile Subscriber Identity) replaced by TIMSI (temporary IMSI)
 - the next TIMSI is sent (encrypted) during the call set-up

Point-to-point symmetric key distribution

- Before: Alice and Bob share long term secret K_{AB}

$$\begin{array}{ccc} \text{generate} & & \text{decrypt} \\ \text{session key } k & \xrightarrow{EK_{AB}(k // \text{time} // \text{Bob})} & \text{extract } k \\ & \xleftarrow{Ek(\text{time} // \text{Alice} // \text{hello})} & \end{array}$$

- After: Alice and Bob share a short term key k
 - which they can use to protect a specific interaction
 - which can be thrown away at the end of the session
- Alice and Bob have also authenticated each other

Symmetric key distribution with 3rd party

- Before (KDC=Key Distribution Center)
 - Alice shares a long term secret with KDC: K_A
 - Bob shares long term secret with KDC: K_B

!! never use this protocol in practice – it is just a toy example

Symmetric key distribution with 3rd party(2)

- After: Alice and Bob share a short term key k
- Need to trust third party!
- Single point of failure in system

Kerberos/Single Sign On (SSO)

- Alice uses her password only once per day

Kerberos/Single Sign On (2)

- Step 1: Alice gets a “day key” K_A from AS (Authentication Server)
 - based on a Alice’s password (long term secret)
 - K_A is stored on Alice’s machine and deleted in the evening
- Step 2: Alice uses K_A to get application keys k_i from TGS (Ticket Granting Server)
- Step 3: Alice can talk securely to applications (printer, file server) using application keys k_i

A public-key distribution protocol: Diffie-Hellman

- Before: Alice and Bob have never met and share no secrets; they know a public system parameter α

$$\begin{array}{ccc} \text{generate } x & \xrightarrow{\alpha^x} & \text{generate } y \\ \text{compute } \alpha^x & & \text{compute } \alpha^y \\ & \xleftarrow{\alpha^y} & \\ \text{compute } k=(\alpha^y)^x & & \text{compute } k=(\alpha^x)^y \end{array}$$

- After: Alice and Bob share a short term key k
 - Eve cannot compute k : in several mathematical structures it is hard to derive x from α^x (this is known as the discrete logarithm problem)

Diffie-Hellman (continued)

generate x
compute α^x

$\xrightarrow{\alpha^x}$

generate y
compute α^y

$\xleftarrow{\alpha^y}$

compute $k=(\alpha^y)^x$

compute $k=(\alpha^x)^y$

- BUT: How does Alice know that she shares this secret key k with Bob?
- Answer: Alice has no idea at all about who the other person is! The same holds for Bob.

Meet-in-the middle attack

- Eve shares a key $k1$ with Alice and a key $k2$ with Bob
- Requires *active* attack

$k1 = (\alpha^{y1})^{x1} = (\alpha^{x1})^{y1}$ $k2 = (\alpha^{y2})^{x2} = (\alpha^{x2})^{y2}$

Station to Station protocol (STS)

- The problem can be fixed by adding digital signatures
- This protocol plays a very important role on the Internet (under different names)

choose x
 $k=(\alpha^y)^x$

$\xrightarrow{\alpha^x}$

choose y
 $k=(\alpha^x)^y$

$\xleftarrow{\alpha^y}$

$SigA(\alpha^x, \alpha^y)$

\sqrt{SigB}

$\xleftarrow{SigB(\alpha^y, \alpha^x)}$

\sqrt{SigA}

IKE - Main Mode with Digital Signatures

K derived from master = prf($N_i \parallel N_r, g^{xy}$)
 SIG_i = Signature on $H(\text{master}, g^i \parallel g^r \parallel \dots \parallel ID_i)$
 SIG_r = Signature on $H(\text{master}, g^r \parallel g^i \parallel \dots \parallel ID_r)$

H is equal to prf or the hash function tied to the signature algorithm (all inputs are concatenated)

Key establishment in future mobile systems

[+] slightly more efficient (ECC)

Key transport using RSA

generate k
 $E_{PKB}(k)$

$\xrightarrow{E_{PKB}(k)}$

decrypt using SKB to obtain k

- How does Bob know that k is a fresh key?
- How does Bob know that this key k is coming from Alice?
- How does Alice know that Bob has received the key k and that Bob is present (entity authentication)?

Key transport using RSA (2)

generate k
 $E_{PK_B}(k)$ $\xrightarrow{E_{PK_B}(k \parallel t_A)}$ decrypt using SK_B to obtain k

- Freshness is solved with a timestamp t_A

Key transport using RSA (3)

generate k $\xrightarrow{Sig_{SK_A}(E_{PK_B}(k \parallel t_A))}$ decrypt using SK_B and verify using PK_A

- Alice authenticates by signing the message
- There are still attacks (signature stripping...)

Key transport using RSA (4): X.509

generate k
 $Sig_{SK_A}(B \parallel t_A \parallel E_{PK_B}(A \parallel k))$
 $\parallel t_A \parallel E_{PK_B}(A \parallel k)$ $\xrightarrow{\hspace{10em}}$ decrypt using SK_B and verify using PK_A

Mutual: B can return a similar message including part of the first message

Problem (compared to D-H/STS):
lack of **forward secrecy**

If the long term key SK_B of Bob leaks, all past session keys can be recovered!

Distribution of public keys

- How do you know whose public key you have?
- Where do you get public keys?
- How do you trust public keys?
- What should you do if your private key is compromised?

reduce protection of public key of many users to knowledge of a **single public key** of a Certification Authority (CA)

digital certificates & Public Key Infrastructure (PKI)

Public Key Certificates

DN: cn=Joe Smith, o=L&H, c=BE
Serial #: 8391037
Start: 3/12/11 1:00
End: 4/12/12 12:01
CRL: cn=CRL2, o=L&H, c=BE
Key:
CA DN: o=GLS, c=BE

- Unique name owner
- Unique serial number
- Validity period
- Revocation information
- Public key
- Name of issuing CA
- CA's Digital signature on the certificate

Certificate Revocation List

DN: cn=CRL2, o=ACME, c=US
Start: 1/03/12 1:01
End: 2/03/12 1:00
Revoked:
 191231
 123832
 923756
CA DN: o=GLS, c=BE

- Unique name of CRL
- Period of validity
- Serial numbers of revoked certificates
- Name of issuing CA
- CA's digital signature on the CRL

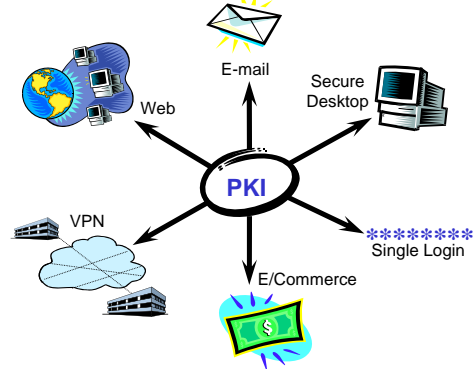
Essential PKI Components

- Certification Authority
- Revocation system
- Certificate repository (“directory”)

- Key backup and recovery system
- Support for non-repudiation
- Automatic key update
- Management of key histories
- Cross-certification
- PKI-ready application software

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PKI-ready application software:
old view of PKI (does not work in practice)



Example of a key hierarchy

